

## State of New Jersey Two-Year Chapter 51/Executive Order 117 Vendor Certification and Disclosure of Political Contributions

	FOR STATE AGI	ENCY USE ONLY	
Solicitation, RFP, or Contract No	ct NoAward A		d Amount
Description of Services			
State Agency Name	Conta	act Person	
Phone Number	Conta	act Email	
Check if the Contract / Agreement is	Being Funded Using F	HWA Funds	
Part 1: Business Entity Informat	<u>ion</u>		Please check if requesting recertification □
Full Legal Business Name	(Including trade r	name if applicable	e)
Address			
City	State	Zip	Phone
Vendor Email	Vendor FEIN (	SS# if sole propi	rietor/natural person)
<ul> <li>Corporation: LIST ALL OFFICERS and</li> <li>Professional Corporation: LIST ALL O</li> <li>Partnership: LIST ALL PARTNERS wit</li> <li>Limited Liability Company: LIST ALL</li> <li>Sole Proprietor</li> </ul>	MUST BE COMI I any 10% and greater FFICERS and ALL SHAI th any equity interest MEMBERS with any equences	Shareholder REHOLDERS uity interest	ibility, Secretary, Treasurer, Chief Executive
All Officers of a Corporation			reater shareholders of a corporation or <u>all</u> shareholders of a PC
All Equity partners of a Part	tnership		All Equity members of a LLC
If you need additional space for listing of	Officers Shareholders	Partners or Memb	ners inlease attach senarate nage

IMPORTANT NOTE: You <u>must</u> review the definition of "contribution" and "business entity" on the Information and Instructions form prior to completing Part 2 and Part 3. The Information and Instructions form is available at: <a href="http://www.nj.gov/treasury/purchase/forms/eo134/Chapter51.pdf">http://www.nj.gov/treasury/purchase/forms/eo134/Chapter51.pdf</a>

## Part 2: Disclosure of Contributions by the business entity or any person or entity whose contributions are attributable to the business entity.

1. Report below all contributions solicited or made during the 4 years immediately preceding the commencement of negotiations or submission of a proposal to any:

Political organization organized under Section 527 of the Internal Revenue Code and which also meets the definition of a continuing political committee as defined in N.J.S.A. 19:44A-20.13 (See Information and Instructions form.)

2. Report below all contributions solicited or made during the 5 ½ years immediately preceding the commencement of negotiations or submission of a proposal to any:

Candidate Committee for or Election Fund of any Gubernatorial or Lieutenant Gubernatorial candidate State Political Party Committee County Political Party Committee

3. Report below all contributions solicited or made during the 18 months immediately preceding the commencement of negotiations or submission of a proposal to any:

Municipal Political Party Committee Legislative Leadership Committee

certification on behalf of the business entity.

Full Legal Name of Recipient
Address of Recipient
Date of ContributionAmount of Contribution
Type of Contribution (i.e. currency, check, loan, in-kind)
Contributor Name
Relationship of Contributor to the Vendor  If this form is not being completed electronically, please attach additional contributions on separate page.  Remove Contribution Click the "Add a Contribution" tab to enter additional contributions.
Add a Contribution
☐ Check this box only if no political contributions have been solicited or made by the business entity or any person or entity whose contributions are attributable to the business entity.  Part 3: Certification
(A) $\square$ I am certifying on behalf of the business entity <u>and all</u> individuals and/or entities whose contributions are attributable to the business entity as listed on Page 1 under <u>Part 1: Vendor Information</u> .
(B) I am certifying on behalf of the business entity <u>and all</u> individuals and/or entities whose contributions are attributable to the business entity as listed on Page 1 under Part 1: Vendor Information, except for the individuals and/or entities who are submitting separate Certification and Disclosure forms which are included with this submittal.
(C) I am certifying on behalf of the business entity only; any remaining persons or entities whose contributions are attributable to the business entity (as listed on Page 1) have completed separate Certification and Disclosure forms which are included with this submittal.
(D) $\square$ I am certifying as an individual or entity whose contributions are attributable to the business entity.
I hereby certify as follows:
1. I have read the Information and Instructions accompanying this form prior to completing the

2. All reportable contributions made by or attributable to the business entity have been listed above.

- 3. The business entity has not knowingly solicited or made any contribution of money, pledge of contribution, including in-kind contributions, that would bar the award of a contract to the business entity unless otherwise disclosed above:
  - a) Within the 18 months immediately preceding the commencement of negotiations or submission of a proposal for the contract or agreement to:
    - (i) A candidate committee or election fund of any candidate for the public office of Governor or Lieutenant Governor or to a campaign committee or election fund of holder of public office of Governor or Lieutenant Governor; OR
    - (ii) Any State, County or Municipal political party committee; OR
    - (iii) Any Legisative Leadership committee.
  - b) During the term of office of the current Governor or Lieutenant Governor to:
    - (i) A candidate committee or election fund of a holder of the public office of Governor or Lieutenant Governor; OR
    - (ii) Any State or County political party committee of the political party that nominated the sitting Governor or Lieutenant Governor in the last gubernatorial election.
  - c) Within the 18 months immediately preceding the last day of the sitting Governor or Lieutenant Governor's first term of office to:
    - (i) A candidate committee or election fund of the incumbent Governor or Lieutenant Governor; OR
    - (ii) Any State or County political party committee of the political party that nominated the sitting Governor or Lieutenant Governor in the last gubernatorial election.
- 4. During the term of the contract/agreement the business entity has a continuing responsibility to report, by submitting a new Certification and Disclosure form, any contribution it solicits or makes to:
  - (a) Any candidate committee or election fund of any candidate or holder of the public office of Governor or Lieutenant Governor; OR
  - (b) Any State, County or Municipal political party committee; OR
  - (c) Any Legislative Leadership committee.

The business entity further acknowledges that contributions solicited or made during the term of the contract/agreement may be determined to be a material breach of the contract/agreement.

5. During the two-year certification period the business entity will report any changes in its ownership structure (including the appointment of an officer within a corporation) by submitting a new Certification and Disclosure form indicating the new owner(s) and reporting said owner(s) contributions.

<u>I certify that the foregoing statements in Parts 1, 2 and 3 are true.</u> I am aware that if any of the statements are willfully false, I may be subject to punishment.

Signed Name	Print Name	
Title/Position	Date	

## **Procedure for Submitting Form(s)**

The contracting State Agency should submit this form to the Chapter 51 Review Unit when it has been required as part of a contracting process. The contracting State Agency should submit a copy of the completed and signed form(s), to the Chapter 51 Unit and retain the original for their records.

**The business entity should return this form to the contracting State Agency.** The business entity can submit this form directly to the Chapter 51 Review Unit only when it -

- Is approaching its two-year certification expiration date and wishes to renew certification;
- · Had a change in its ownership structure; OR
- Made any contributions during the period in which its last two-year certification was in effect, or during the term of a contract with a State Agency.

Forms should be submitted either electronically to: <a href="mailto:cd134@treas.nj.qov">cd134@treas.nj.qov</a>, or regular mail at: Chapter 51 Review Unit, P.O. Box 230, 33 West State Street, Trenton, NJ 08625.