

Firm Name: **EisnerAmper LLP**

**Work Authorization No. 3**  
**IOM Services for the Substations Program**  
**Contract No. 14-033B**

Engagement: **NJ Transit P.O. #B-51389-003**

For Quarter Ending: **12/31/2022**

Reports required under A-60 will be submitted by Integrity Monitors on the first business day of each calendar quarter to the State Treasurer and will contain detailed information on the projects/contracts/programs funded by the Disaster Relief Appropriations Act.

No.	Recipient Data Elements	Response	Comments
<b>A. General Info</b>			
1.	Recipient of funding	New Jersey Transit Corporation	
2.	Federal Funding Agency? (e.g. HUD, FEMA)	Federal Transit Administration	
3.	State Funding (if applicable)	Not applicable	
4.	Award Type	FTA-Public Transportation Emergency Relief Program	
5.	Award Amount	<b>Gannett Fleming:</b> \$9,694,730 (Design / Engineering) <b>Northeast Remsco:</b> \$9,825,162 (ROC Substation) <b>John O'Hara Company:</b> \$18,060,638 (Henderson St. Substation) <b>DMR Construction:</b> \$19,899,184 (Hoboken Depot Substation) <b>Naik Consulting Group:</b> \$5,807,005 (Construction Management for Hoboken and Henderson Substations) <b>PKF Mark III, Inc.:</b> \$20,657,858.61 (Bay Head Yard Substation)	The initial authorized amount for Task Order Assignment No. 1 SSRP Contract 13-006A was \$9,694,730. Subsequently, three change orders were issued to Contract 13-006A TOA No. 1SSRP totaling \$2,743,373 (Change Order #1 - \$1,595,679, Change Order #2 - \$130,562 and Change Order #3 - \$1,017,132).
6.	Contract/Program Person/Title	Ronald Hovey, Chief Procurement Officer (Acting)	
7.	Brief Description, Purpose and Rationale of Project/Program	Provide Integrity Oversight Monitoring Services for the Substations Program, including: - <b>NJT00766, Henderson St. Substation Repair:</b> This project involves the design and construction of the replacement Henderson Street Substation in Hoboken, including switch-gear, transformers and all other associated power cable, devices and controls. - <b>NJT00768, Bay Head Yard Substation Repair:</b> This project involves the design and construction of a replacement Bay Head Yard Substation including switch-gear, transformers and all other associated power cable, devices, and controls.	
		- <b>NJT00762, Hoboken Terminal House Power Repair:</b> This project involves the design and construction for the repair and replacement of the Hoboken Terminal House Power Supply (a.k.a. "Depot Substation") including switch gear, transformers and associated relays, circuit breakers, power cables, electrical system component, and ancillary equipment. - <b>NJT01113, ROC Unit Substation:</b> This project includes construction of a replacement Substation to be built above Design Flood Elevation and integration of emergency power equipment to service the Rail Operations Center (ROC). - <b>Construction Management (CM) Services:</b> NAIK Consulting Group (NAIK) was retained CM services for two (2) substations projects, Hoboken Depot –Terminal and Henderson Street Substations.	

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8.	Contract/Program Location	Henderson Street Substation, Bay Head Yard Substation, Depot Substation, Rail Operations Center.	
9.	Amount Expended to Date	Hoboken Terminal House Power Repair (Depot) (NJT00762) - \$28,576,870.12 Henderson Substation (NJT00766) - \$27,178,331.16 Bayhead Substation (NJT00768) - \$22,816,843.66 ROC Unit Substation (NJT01113) - \$14,933,741.98	Note: Expenditure figures in the report are presented for the entire Substations Program, whereas previously they were limited to the contracts within IOM's scope.
10.	Amount Provided to other State or Local Entities	None	
11.	Completion Status of Contract or Program	In progress	
12.	Expected Contract End Date/Time Period	December 2024	
<b>B. Monitoring Activities</b>			
13.	If FEMA funded, brief description of the status of the project worksheet and its support.	Not applicable	
14.	Quarterly Activities/Project Description (include number of visits to meet with recipient and sub recipient, including who you met with, and any site visits warranted to where work was completed)	Held conference calls on October 3, 2022, October 4, 2022, October 31, 2022 and December 1, 2022 with contractor for Contract No. 17-053X (Henderson) regarding open requests including payroll documents, waste disposal and demolition. Participated, via conference call, progress meeting #65 on October 4, 2022 related to contract 17-026X (Bay Head). Participated, via conference call, progress meeting #76 on October 13, 2022 related to contract 16-035X (ROC). Participated, via conference call, progress meeting #66 on October 18, 2022 related to contract 17-026X (Bay Head). Participated, via conference call, progress meeting #77 on October 27, 2022 related to contract 16-035X (ROC). Participated, via conference call, to project status update call on October 31, 2022 for contracts No. 16-049X (Hoboken) and 17-053X (Henderson). Participated, via conference call, progress meeting #67 on November 1, 2022 related to contract 17-026X (Bay Head). Participated, via conference call, progress meeting #78 on November 10, 2022 related to contract 16-035X (ROC). Participated, via conference call, progress meeting #68 on November 15, 2022 related to contract 17-026X (Bay Head). Participated, via conference call, to project status update call on November 22, 2022 for contracts No. 16-049X (Hoboken) and 17-053X (Henderson). Participated, via conference call, progress meeting #79 on December 8, 2022 related to contract 16-035X (ROC). Participated, via conference call, progress meeting #69 on December 13, 2022 related to contract 17-026X (Bay Head). Participated, via conference call, to project status update call on December 20, 2022 for contracts No. 16-049X (Hoboken) and 17-053X (Henderson).	

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No.	Recipient Data Elements	Response	Comments
15.	Brief Description to confirm appropriate data/information has been provided by recipient and what activities have been taken to review in relation to the project/contract/program.	Received and reviewed meeting minutes for progress meetings #75 through #78 related to Contract No. 16-035X (ROC). Received and reviewed meeting minutes for progress meetings #65 through #68 related to Contract No. 17-026X (Bay Head). Received various claim letters related to Contract 17-026X (Bay Head). Received various payment applications for Contracts No. 17-053X (Henderson), 16-035X (ROC) and 17-007 (Naik). Received NPC approval packages related to Contracts No. 17-026X (Bay Head), 17-053X (Henderson) and 16-049X (Hoboken). Received executed change order documents related to Contract No. 16-035X (ROC). Received various construction documents including daily construction reports, schedule updates and waste disposal documents for Contracts No. 17-026X (Bay Head), 16-035X (ROC) and 17-053X (Henderson). Received various invoicing related documents including payroll and proof of payment for Contracts No. 17-053X (Henderson) and 16-049X (Hoboken). Received spending breakout for PRINTS ID NJT01113, NJT00766, NJT00762 and NJT00768.	
16.	Description of quarterly auditing activities that have been conducted to ensure procurement compliance with terms and conditions of the contracts and agreements.	Performed invoice testing related to Contract No. 13-006A (Gannett Fleming), 17-007 (Naik), 17-026X (Bay Head), 16-035X (ROC), 17-053X (Henderson) and 16-049X (Hoboken). Attended construction progress meetings and performed fieldwork construction monitoring procedures for Contracts No. 16-035X (ROC) and 17-026X (Bay Head). Conducted DBE monitoring procedures including drafting DBE monitoring report and interviews. Performed monitoring procedures related to NPCs for Contracts No. 17-026X (Bay Head) and 16-035X (ROC).	
17.	Have payment requisitions in connection with the contract/program been reviewed? Please describe	Payment requisitions for NE Remsco (Contract No. 16-035X), O'Hara (Contract No. 17-053X) and Naik (Contract No. 17-007) were provided; testing for a selection of these invoices is in progress and ongoing.	
18.	Description of quarterly activity to prevent and detect waste, fraud and abuse.	Ongoing monitoring procedures in connection with the construction phase for Contracts No. 17-053X (Henderson), 16-049X (Hoboken), 16-035X (ROC), 17-026X (Bay Head) and 17-007 (Naik).	
19.	Provide details of any integrity issues/findings	None noted	
20.	Provide details of any work quality or safety/environmental/historical preservation issue(s).	Note noted	

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21.	Provide details on any other items of note that have occurred in the past quarter	For contract no. 16-035X (ROC), as of December 31, 2022, the project completion date has lapsed without an official written issuance of a change order for a project extension beyond December 2022. Per NJ Transit, a remedy is in process and will require Board Approval. Negotiations are underway with the Contractor for the extension of time and potential additional compensation.  For contract nos. 16-049X (Hoboken) and 17-026X (Bay Head), EisnerAmper is waiting on responses to multiple open requests dating from 2020. See attached schedule.	
22.	Provide details of any actions taken to remediate waste, fraud and abuse noted in past quarters	Not applicable	
<b>C. Miscellaneous</b>			
23.	Attach a list of hours and expenses incurred to perform your quarterly integrity monitoring review	See attached hours summary.	
24.	Add any item, issue or comment not covered in previous sections but deemed pertinent to monitoring program.	None noted	

Name of Integrity Monitor:	EisnerAmper LLP
Name of Report Preparer:	Tim Van Noy
Signature:	
Date:	March 31, 2023

**State of New Jersey**  
**Department of Treasury**  
**Work Authorization No. 3**  
**IOM Services for the Substations Program**  
**Rev. 1 – Substation Program: Construction Management Services**  
**Contract No. 14-033B**  
**NJ Transit P.O. #B-51389-003**

Attachment for Question #21

<b>Request #</b>	<b>Contract #</b>	<b>Risk Category</b>	<b>Date Requested</b>	<b>To be Provided By</b>	<b>Days Outstanding</b>
Request #198d	16-049X	Waste Disposal	12/8/2020	DMR	753
Request #198f	16-049X	Waste Disposal	12/8/2020	DMR	753
Request #198 i (item 6)	16-049X	Invoicing	12/8/2020	DMR	753
Request #204	17-026X	Invoicing	12/14/2020	TYLIN	747
Request #422	16-049X	Invoicing	6/1/2021	DMR	578
Request #629	16-049X	Invoicing	2/5/2022	DMR	329

**State of New Jersey**  
**Department of Treasury**  
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**Rev. 1 – Substation Program: Construction Management Services**  
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Attachment for Question #23

<b>Professional</b>	<b>Hours</b>
David Cace	0.25
Tim Van Noy	5.90
Craig Mann	86.70
Nancy Pham	28.15
Marisol Gonzalez	27.75
Sasha Smith*	1.50
Tad Creamer*	4.00
Ethan Johnston*	5.00
Sara Harbinson*	3.00
<b>Subtotal_IOM Services for the Substations Program</b>	<b>162.25</b>
Tim Van Noy	2.00
Craig Mann	11.15
Nancy Pham	2.80
Brooke DiMarinis	0.20
<b>Subtotal_Rev. 1 – Substation Program: Construction Management Services</b>	<b>16.15</b>
<b>Total</b>	<b>178.40</b>

\* Member of EisnerAmper's DBE firm.