

Thacher Associates LLC
Work Authorization NO. 3
Integrity Oversight Monitoring Services for HNTB
Program and Project Management Support

Firm Name: _____
 Engagement: **New Jersey Transit P.O. No. B-51391-003**
 For Quarter Ending: **09/30/2019**

Reports required under A-60 will be submitted by Integrity Monitors on the first business day of each calendar quarter to the State Treasurer and will contain detailed information on the projects/contracts/programs funded by the Disaster Relief Appropriations Act.

No.	Recipient Data Elements	Response	Comments
A. General Info			
1.	Recipient of funding	New Jersey Transit Corporation	
2.	Federal Funding Agency? (e.g. HUD, FEMA)	Federal Transit Administration	
3.	State Funding (if applicable)	N/A	
4.	Award Type	FTA - Public Transportation Emergency Relief Program	
5.	Award Amount	\$40,500,000.00	Represents total funds authorized under HNTB Contract 13-007B.
6.	Contract/Program Person/Title	Jignasa Desai-McCleary, Chief, Procurement & Support Services	
7.	Brief Description, Purpose and Rationale of Project/Program	This project consists of providing program management support, project management support and related activities over Superstorm Sandy Recovery and NJ TRANSIT Resiliency Program.	
8.	Contract/Program Location	Management of Programs and Projects in various locations	
9.	Amount Expended to Date	\$37,656,433.69	
10.	Amount Provided to other State or Local Entities	None	
11.	Completion Status of Contract or Program	Completed	
12.	Expected Contract End Date/Time Period	March 31, 2018	
B. Monitoring Activities			
13.	If FEMA funded, brief description of the status of the project worksheet and its support.	N/A	

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No.	Recipient Data Elements	Response	Comments
14.	Quarterly Activities/Project Description (include number of visits to meet with recipient and sub recipient, including who you met with, and any site visits warranted to where work was completed)	<p>Thacher Associates reviewed a sample of HNTB subcontracts, HNTB Task Order Assignment proposals, and Program Master Schedule as of February 2016 for fraud risk assessment purposes. Thacher Associates reviewed HNTB Task Order Assignments and prepared a schedule of HNTB deliverables for further testing. For fraud risk assessment purposes, Thacher Associates performed reviews of the selected HNTB deliverables per its February 2016 invoice. Thacher Associates also reviewed NJT Independent Cost Estimates and conducted a teleconference with NJT officials regarding the same. Furthermore, Thacher Associates reviewed schedules of payments and deliverables received.</p> <p>Thacher Associates prepared revisions to its fraud risk assessment during the quarter.</p> <p>Thacher Associates also filed its Report for the quarter ended June 30, 2019 to the Department of the Treasury on October 1, 2019.</p>	
15.	Brief Description to confirm appropriate data/information has been provided by recipient and what activities have been taken to review in relation to the project/contract/program.	Thacher Associates received and reviewed the Program Master Schedule as of 2016, select HNTB deliverables per its 2016 invoice, the NJT RFP and HNTB Technical Proposals for Task Order Assignment No. 1, Rev 2 and Task Order Assignment No.1, Rev 4, Independent Cost Estimates, and schedules of payments and deliverables.	
16.	Description of quarterly auditing activities that have been conducted to ensure procurement compliance with terms and conditions of the contracts and agreements.	No substantive audit activities took place during the quarter.	
17.	Have payment requisitions in connection with the contract/program been reviewed? Please describe	No additional payment requisitions were reviewed during the quarter.	

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No.	Recipient Data Elements	Response	Comments
18.	Description of quarterly activity to prevent and detect waste, fraud and abuse.	Thacher Associates conducted further interviews of the NJT officials to determine potential areas of vulnerability to waste, fraud, and abuse. As noted above, Thacher Associates reviewed additional documentation from NJT in order to further assess vulnerabilities and risk and prepared revisions to the fraud risk assessment.	
19.	Provide details of any integrity issues/findings	No findings.	
20.	Provide details of any work quality or safety/environmental/historical preservation issue(s).	No findings.	
21.	Provide details on any other items of note that have occurred in the past quarter	Not applicable.	
22.	Provide details of any actions taken to remediate waste, fraud and abuse noted in past quarters	Not applicable.	
C. Miscellaneous			
23.	Attach a list of hours and expenses incurred to perform your quarterly integrity monitoring review	Please see attachment.	
24.	Add any item, issue or comment not covered in previous sections but deemed pertinent to monitoring program.	None.	

Name of Integrity Monitor: Thacher Associates LLC Name of Report Preparer: Martin Aronchick, Managing Director Signature:  Date: January 2, 2020
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Firm Name: Contract No. 14-003-D
Engagement: New Jersey Transit P.O. No. B-51391-003
For Quarter Ending: 09/30/2019

Attachment for Question #23

Timekeeper	Hours
Chris Ward	-
Edison Montalvo	-
Deborah Thangam	29.00
Karmen Naidoo	1.00
Kevin Mullins	2.00
Linda Negron	3.00
Martin Aronchick	-
Michael Bernstein	78.50
Michael Carroll	-
Robert Thompson	0.50
	<u>114.00</u>