

State of New Jersey  
 Department of the Treasury  
 Integrity Oversight Monitoring Reporting Model

Firm Name: RSM US LLP  
 Engagement: Hoboken Projects - 14-033C  
 For Quarter Ending: 09/30/2019

Reports required under A-60 will be submitted by Integrity Monitors on the first business day of each calendar quarter to the State Treasurer and will contain detailed information on the projects/contracts/programs funded by the Disaster Relief Appropriations Act.

No.	Recipient Data Elements	Response	Comments
<b>A. General Info</b>			
1.	Recipient of funding	New Jersey Transit Corporation	
2.	Federal Funding Agency? (e.g. HUD, FEMA)	Federal Transit Administration	
3.	State Funding (if applicable)	\$2,554,485.20 Transportation Trust Fund \$3,904,061.37 Port Authority of New York & New Jersey	
4.	Award Type	Federal Transit Administration- Public Transportation Emergency Relief Program	
5.	Award Amount	\$111,725,331	
6.	Contract/Program Person/Title	Jignasa Desai-McCleary, Chief of Procurement	
7.	Brief Description, Purpose and Rationale of Project/Program	Provide Integrity Oversight Monitoring services for the following projects:  NJT00850: This project is for the design and construction of repairs and modifications to selected equipment, systems, and facilities in the Hoboken Terminal that were damaged during Superstorm Sandy.  NJT00849/NJT00851: This project is for the design and construction of repairs, restoration, and resiliency to the Hoboken Yard Signal Power Distribution System and Yard/Wayside Power Distribution Systems.	
8.	Contract/Program Location	Hoboken Terminal One Hudson Place Hoboken, NJ	
9.	Amount Expended to Date	\$22,552,178.08	
10.	Amount Provided to other State or Local Entities	None	
11.	Completion Status of Contract or Program	In Progress	
12.	Expected Contract End Date/Time Period	May 2024	
<b>B. Monitoring Activities</b>			
13.	If FEMA funded, brief description of the status of the project worksheet and its support.	Not applicable.	
14.	Quarterly Activities/Project Description (include number of visits to meet with recipient and sub recipient, including who you met with, and any site visits warranted to where work was completed)	Preparation and submission of monthly Form E.  RSM obtained and reviewed project documentation in conjunction with our fraud risk assessment and execution of our approved workplan. RSM conducted a secondary site visit on July 16, 2019. RSM's submitted fraud risk assessment was approved and the subsequent full work authorization was approved on September 27, 2019.	

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15.	Brief Description to confirm appropriate data/information has been provided by recipient and what activities have been taken to review in relation to the project/contract/program.	<p>RSM continued reviewing documents in anticipation of approval of our fraud risk assessment as well as planned and prepared for the execution of future worksteps upon receipt of a full notice to proceed with the project.</p> <p>This information included but was not limited to:</p> <ul style="list-style-type: none"> <li>-Grant agreement</li> <li>-Contracts</li> <li>-Procurement / bidding documentation</li> <li>-Invoices / pay applications</li> <li>-Change orders / Proposed changes</li> <li>-Vendor contact information</li> <li>-Weekly project meeting minutes</li> </ul> <p>We also conducted a secondary site visit to observe the current state of the project firsthand, as it entered the substantially complete phase.</p>	
16.	Description of quarterly auditing activities that have been conducted to ensure procurement compliance with terms and conditions of the contracts and agreements.	None. We have reviewed the tabulations, and discussed procurement procedures with NJT procurement staff to expand our understanding prior to execution of test procedures.	
17.	Have payment requisitions in connection with the contract/program been reviewed? Please describe	None. We have performed preliminary review / rollforward of project pay applications, and will conduct detailed inspections now that approval of our fraud risk assessment has been received.	
18.	Description of quarterly activity to prevent and detect waste, fraud and abuse.	Our meetings with key stakeholders, site visit, requests and review of source documents all contribute to preventing and detecting waste, fraud and abuse.	
19.	Provide details of any integrity issues/findings	None.	
20.	Provide details of any work quality or safety/environmental/historical preservation issue(s).	None.	
21.	Provide details on any other items of note that have occurred in the past quarter	None.	
22.	Provide details of any actions taken to remediate waste, fraud and abuse noted in past quarters	Not applicable.	
<b>C. Miscellaneous</b>			

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23.	Attach a list of hours and expenses incurred to perform your quarterly integrity monitoring review	For the period July 1, 2019 - September 30, 2019, the following individuals have expended a total of 112.5 hours, and requested reimbursement for travel expenses totalling \$1,249.32:  Name: Shawn Dahl David Luker Brian Tracy Matthey Blondell Ryan Daly Luckie Kaufman Chris Gums	
24.	Add any item, issue or comment not covered in previous sections but deemed pertinent to monitoring program.	None.	

Name of Integrity Monitor:	RSM US LLP
Name of Report Preparer:	David Luker
Signature:	
Date:	2-Jan-20